



Standing Committee (Audit and Risk)

Item 11.2 refers

Attachment 2

Proposed Audit Plan - 2021

City of Belmont
DRAFT/PROPOSED Audit Plan - 2021

Legend

OSA	ABEF Organisational Self Assessment (Conducted 3 yearly - due 2020)
CAR	Statutory Compliance Audit
BI	Business Improvement Review
E	Integrated Management System (QHSE) External Audit - SGS
IMS	Integrated Management System (QHSE) Internal Audit
F	Financial Auditors
REV	Statutory Reviews

		2021											
		Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Tier 1	BMS Review	BI Document Review											
Tier 2	System Procedures	BI Document Review											
Tier 3	Corporate & Governance	CAR	CAR			E						IMS	
	Finance	CAR	CAR	F		E					F	IMS	
	Finance/Governance - Financial Management Review / Regulation 17 Review											REV	REV
	Governance	CAR	CAR			E						IMS	
	Information Technology	CAR	CAR			E						IMS	
	Infrastructure Services	CAR	CAR			E						IMS	
	City Facilities and Property	CAR	CAR			E						IMS	
	City Projects	CAR	CAR			E						IMS	
	Design & Assets	CAR	CAR			E						IMS	
	Infrastructure Development	CAR	CAR			E						IMS	
	Parks and Environment	CAR	CAR			E						IMS	
	Works	CAR	CAR			E						IMS	
	Development & Communities	CAR	CAR			E						IMS	
	Community Placemaking	CAR	CAR			E						IMS	
	Economic and Community Development	CAR	CAR			E						IMS	
	Safer Communities	CAR	CAR			E						IMS	
	Planning Services	CAR	CAR			E						IMS	
	Executive Services	CAR	CAR			E						IMS	
	CEO Office	CAR	CAR			E						IMS	
	People and Organisational Development	CAR	CAR			E						IMS	

NOTE: 1. This plan is indicative on the impact of the audit schedule, as such all parts of the organisation may be identified for audit though this will depend on possible random sampling or statutory requests.

2. Internal Focus Audits and Operational Comparisons are not identified on the Audit Plan as they are carried out as required.